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No. 0106 P. 1

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Valerie G. Dugan Brian M. Dugan, Ph.D.

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PATENTS, TRADEMARKS & COPYRIGHTS
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#### FACSIMILE COVER SHEET

August 28, 2006

Group Art Unit :

PLEASE DELIVER THE ATTACHED MESSAGE TO:

Phone No.: (571) 272-6964 Examiner: McDieunel Marc Fax No.: (571) 273-8300 From: Brian M. Dugan Our File No.: Docket No. 7676/Y01/SYNX/JW IN THE UNITED STATES PATENT AND TRADEMARK OFFICE : Michael Robert Rice et al. Applicant Serial No. : 10/650,480 August 28, 2003 Filed : SUBSTRATE CARRIER HANDLER THAT UNLOADS For SUBSTRATE CARRIERS DIRECTLY FROM A MOVING CONVEYOR McDieunel Marc Examiner :

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 6

3661

\* \* \*

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Docket No. 7676/Y01/SYNX/JW

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED CENTRAL FAX CENTER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AUG 28 2006

Re:

Inventor(s):

Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Englhardt

Title:

SUBSTRATE CARRIER HANDLER THAT UNLOADS SUBSTRATE CARRIERS DIRECTLY FROM

A MOVING CONVEYOR

Serial No.:

10/650,480

Filed:

August 28, 2003 Marc, McDieunel

Examiner: Marc Group Art Unit: 3661

Transmitted herewith is:

X PTO Form 1449; and

X Information Disclosure Statement with three cited references.

FEE CALCU	LATION	Land Land			
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00) \$1000.00					\$0.00
TOTAL FEES					PAID

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

XX Please address all future correspondence to:

Customer # 41161 Dugan & Dugan, PC 55 South Broadway Tarrytown, NY 10591

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

X transmitted by facsimile to the U.S. Patent and Trademark

August 28, 2006
Date

Brian M. Dugan

(name of person certifying)

Office

Respectfully submitted,

Brian M. Dugan Registration No. 41,720 (914) 332-9081

# AUG 28 2006

PATENTS 7676/Y01/SYNX/JW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Michael R. Rice, et al.

Serial No.

10/650,480

:

Filed

August 28, 2003

For

SUBSTRATE CARRIER HANDLER THAT UNLOADS

SUBSTRATE CARRIERS DIRECTLY FROM A MOVING

CONVEYOR

Group Art Unit :

3661

Customer No.

41161

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following references:

U.S. Patent No. 6,699,329, Mueller et al.

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))

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August 28, 2006 Date

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U.S. Patent No. 6,919,001, Fairbairn et al.

Foreign Art Reference No. JP 10256346 A (Japan)

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

Brian M. Dugan, Esq. Registration No. 41,720

Dugan & Dugan, PC

Attorneys for Applicants

(914)332-9081

Dated: August 28, 2006

Tarrytown, New York

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No. 0106 P. 5

Sheet 1 of 1 sheets Serial No.: Docket No.: U.S. Department of Commerce, Patent and Trademark Office 10/650,480 7676/Y01/SYNX/JW LIST OF RELEVANT ART CITED BY APPLICANT Applicants: (Use several sheets if necessary) Michael R. Rice, ct al Group: Filing Date: 3661 August 28, 2003 U.S. Patent Documents Filing Date If \*Examiner Document Issue Subclass Appropriate Class Name Initial Number Date 6,699,329 03-02-2004 Mueller et al. US-1 6,919,001 07-19-2005 Fairbaim et al. US-2 **US-3** US-4 US-5 US-6 US-7 US-8 US-9 **US-10** US-11 Translation Foreign Patent Documents Document Class Subclass Yes No Country Number Date **Abstract** JP 10256346 A 9-25-1998 Japan F-1 F-2 F-3 F-4 F-5 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) OT-1 OT-2 OT-3 Date Considered Examiner \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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